



LCC Anti-Money Laundering & Compliance Training

- **The Money Laundering Regulation 2007**.....
 Definition of Money Laundering; Definition of Terrorist Financing; Penalties for Money Laundering; Suspicious Activity Report; Tipping off, Structuring; Monitoring Transactions; Transaction Records (5 years); Data Protection.

- **LCC Customers Due Diligence Policy**.....
 How to identify a Customer; What documents are valid for Customers Identification; Large transactions approval procedure; Enhanced Due Diligence; Sanctioned List; PEP's.

- **Other Compliance Information**.....
 Role of HMRC, FCA and NCA; Conduct of business requirements; Safeguarding; Complaint Handling; Bribery Act.

By my signature, I agree to comply with my legal obligations to:

- a) Comply with LCC procedures and controls to prevent money laundering
- b) Train my employees on the laws against money laundering and the procedure to comply with the same.
- c) Input the details onto the system that just correspond to the details of the original Ids produced by the customer and verified by me or by my staff.
- d) Inform to Compliance department any changes of my details provided in the agent's application form, without any delay.
- e) To respect and protect the customer's information details which is not be disclosed to anybody unless purpose is legitimate and required by Regulatory authority.
- f) Promptly report any suspicious transactions to the MLRO.
- g) To use their own Operator id's when conduct transactions and inform to compliance department of adding and removal operators.
- h) Read and abide by all the compliance documents and materials that I have received:
 - **Agent's on boarding Procedures**
 - **LCC Terms and Conditions**
 - **LCC Anti Money Laundering Manual**
 - **Link to access the compliance manual and other compliance material**
 - **Link to access the AML presentation at www.smallworldfs.com**

Agent's business name:

Agent's name:

Date:

Signature 